

APPLICATION FORM FOR SYSTEMATIC TRANSFER PLAN (STP) INTELLO

(For Existing Unit Holders only)

Please read the instructions overleaf carefully and complete the relevant sections legibly in black / dark coloured ink and in BLOCK LETTERS.
Please strike off unused section(s) to avoid unauthorised use

Broker Code/ ARN	Sub-Broker ARN/ Branch Code	Internal Sub-Broker Code	EUIN* (Refer Section 'C' of instructions)	RIA Code / PMRN**	Ref. No.

☐ *I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

****By mentioning the RIA Code/ PMRN, I/we hereby give my/our consent to share/provide the transactions data feed / unit holdings in respect of my/our investments under Direct Plan in the Scheme(s) of Union Mutual Fund with the SEBI Registered Investment Adviser/ SEBI registered Portfolio Managers.**

Signature <small>Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory</small>	Signature <small>Second Applicant / POA / Authorised Signatory</small>	Signature <small>Third Applicant / POA / Authorised Signatory</small>
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For Office use only

☐ New Registration
 ☐ Cancellation

Application No.

Date

1. EXISTING UNITHOLDER(S) INFORMATION*

Existing Folio No.	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	*Mandatory	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	Permanent Account Number (PAN)	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>
Name of the Unit Holder [Please Tick (✓)]					
<input type="radio"/> Mr. <input type="radio"/> Ms. <input type="radio"/> M/s.	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>				
Email Id	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>				

2. STP INTELLO DETAILS (Refer Section 'A' of instructions) [Please Tick (✓)]

	From Scheme	To Scheme
Name of the Scheme	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	
Plan	<input type="radio"/> Direct Plan <input type="radio"/> Regular Plan/ Other than Direct Plan	<input type="radio"/> Direct Plan <input type="radio"/> Regular Plan/ Other than Direct Plan
Option	<input type="radio"/> Growth <input type="radio"/> Payout of IDCW <input type="radio"/> Transfer of IDCW <input type="radio"/> Reinvestment of IDCW	<input type="radio"/> Growth <input type="radio"/> Payout of IDCW <input type="radio"/> Transfer of IDCW <input type="radio"/> Reinvestment of IDCW
Base Instalment Amount ₹** (Minimum of ₹ 1,000)	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	Frequency <input type="radio"/> Monthly

****The Multiplier shall be in the range of 0.40 times to 5.00 times. Therefore, the derived STP instalment amount can go upto 5.00 times of the base instalment amount mentioned here. Please refer example under point 5 in the Terms and Conditions Section overleaf.**

From Date	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	STP Date*	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	*In case the day/date chosen for STP falls on a Non-Business Day or on a date which is not available in a particular month, the STP will be processed on the immediate next Business Day. (Default Date: 8th)
No. of Instalments (Minimum 6 Instalments)	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	To Date	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>	Under STP Intello Default option will get registered till 31- Dec 2099

Note: IDCW stands for "Income Distribution cum Capital Withdrawal"

3. DECLARATION & SIGNATURES* (Refer Section 'B' of instructions)

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union Mutual Fund. I / We, hereby apply to the Trustee of Union Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We hereby agree that any transaction processed under the Systematic Transfer Plan (STP) Intello Facility will be binding upon me/us. I / We will not hold the AMC/the Trustee Company/ the Mutual Fund / the Sponsors liable for any transaction processed under the STP Intello Facility. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making the investment in the relevant Scheme. I / We declare that the amount invested in the relevant Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the relevant Scheme is being recommended to me/us. I / We hereby confirm that Union Mutual Fund/ Union Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I / We hereby confirm that at the time of investment, I / We have the express authority to invest in units of the Scheme and the AMC/ Trustee/ Mutual Fund/ Sponsors will not be responsible if such investment is ultravires the relevant constitution.

Signature <small>Sole / First Applicant / Guardian / POA / Authorised Signatory</small>	Signature <small>Second Applicant / POA / Authorised Signatory</small>	Signature <small>Third Applicant / POA / Authorised Signatory</small>
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)
Folio No.**Ref. No.**

Received from: Mr./ Ms./ M/s _____ Date: / /

Application for Systematic Transfer Plan Intello Facility

From Scheme/ Plan/ Option - _____ Amount ₹ _____

To Scheme -	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>		
Frequency	<input type="radio"/> Monthly	Instalment ₹	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>
No. of Instalments	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>		

Collection centre's stamp with
date and time of receipt

Terms and Conditions and Instructions for Systematic Transfer Plan (STP) Intello facility:

A. STP Intello Facility

1. Union Mutual Fund STP Intello ("the Facility") is a facility wherein unit holder(s) can opt to transfer variable amount(s) from designated open ended Scheme(s) of Union Mutual Fund [hereinafter referred to as "Source Scheme"] to the designated open ended Scheme(s) of Union Mutual Fund [hereinafter referred to as "Target Scheme"] at defined intervals. The Unitholder would be required to provide a Base Instalment Amount that is intended to be transferred to the Target Scheme.
2. The actual amount of transfer to the Target Scheme will be linked to **Unhedged Equity Portfolio of Union Balanced Advantage Fund** (hereinafter referred to as **UEUBAF**)
3. Based on the UEUBAF and the corresponding multiplier factor, the actual amount of STP will be derived for transferring the amount to the Target Scheme. This STP amount will change on a monthly basis depending on the UEUBAF.
4. The Scheme(s) eligible for this facility are as follows:

Source Schemes: Union Liquid Fund, Union Dynamic Bond Fund, Union Corporate Bond Fund, Union Overnight Fund, Union Medium Duration Fund, Union Money Market Fund, Union Arbitrage Fund and Union Equity Savings Fund

Target Schemes: Union Flexi Cap Fund, Union ELSS Tax Saver Fund, Union Small Cap Fund, Union Largecap Fund, Union Value Fund, Union Focused Fund, Union Large & Midcap Fund, Union Midcap Fund, Union Balanced Advantage Fund and Union Aggressive Hybrid Fund.

5. Key features of the STP Intello Facility:

- a) The STP Intello frequency will be monthly.
- b) The investor would be required to provide a Base Instalment Amount that is intended to be transferred to the Target Scheme. The minimum Base Instalment Amount for availing this facility shall be ₹ 1,000/- and in multiples of ₹ 1/- thereafter.
- c) Minimum number of instalments will be 6 instalments.
- d) The UEUBAF as of the month end will be available on the website www.unionmf.com. This data will be uploaded on the website on a monthly basis. The STP transfers for the month will be based on the levels of UEUBAF for the preceding month end.
- e) To derive the amount of transfer from the Source Scheme to the Target Scheme, the Base Amount selected by the investor shall be multiplied with the Multiplier figure given in the table below depending on the UEUBAF level for the preceding month end.

Example 1: If the UEUBAF level for the end of March 2022 is 34% and the Base Amount for STP Intello selected by the investor is ₹ 10,000, then ₹ 4,000 (which is 0.40 multiplied with ₹ 10,000) shall be transferred from the Source Scheme to the Target Scheme on the STP date in April 2022.

Example 2: If the UEUBAF level for the end of March 2022 is 76% and the Base Amount for STP Intello selected by the investor is ₹ 10,000, then ₹ 50,000 (which is 5 multiplied with ₹ 10,000) shall be transferred from the Source Scheme to the Target Scheme on the STP date in April 2022.

UEUBAF Level (Percentage)	Multiplier (of base STP amount)
Less than 35	0.40
Greater than or equal to 35 but less than 40	0.55
Greater than or equal to 40 but less than 45	0.70
Greater than or equal to 45 but less than 50	0.85
Greater than or equal to 50 but less than 55	1.00
Greater than or equal to 55 but less than 60	1.80
Greater than or equal to 60 but less than 65	2.60
Greater than or equal to 65 but less than 70	3.40
Greater than or equal to 70 but less than 75	4.20
Greater than or equal to 75 but less than 80	5.00

- f) As stated in the table above, the Multiplier shall be in the range of 0.40 times to 5.00 times. Therefore, in any case, the derived STP instalment amount will not exceed 5.00 times of the base instalment amount.
- g) The Facility is available only for units held / to be held in non - demat Mode in the Source Scheme and the Target Scheme. This facility is not available for units held / to be held in demat mode.
- h) There is no maximum duration for availing this facility. However, STPs Intello will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The STP Intello facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- i) In case the amount to be transferred is not available in the Source Scheme in the unit holder's folio, the residual amount in the Source Scheme will be transferred to the Target Scheme.
- j) In case any of the day/date of transfer falls on a non-business day, the transaction will be effected on the next business day of the Scheme. In case the date for STP Intello is not indicated, the default date will be 8th of every month.
- k) In case of nil balance in the Source Scheme, STP Intello Instalment for that particular due date will not be processed and STP Intello will cease to be active upon three consecutive unsuccessful transactions.
- l) This Facility shall be applicable subject to payment of exit load, if any of the Source Scheme. Further, the facility will not get executed in case the units are pledged or where lien is marked on units, or if units are within the applicable statutory lock period, if any, at the time of receipt of request.
- m) The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Source Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Target Schemes will not be applicable for STP Intello.

- n) A request for STP Intello will be treated as a request for redemption from the Source Scheme and subscription into the selected Target Scheme(s), Option(s)/ Plan(s), at the applicable NAV, subject to load and statutory levy, if any.
 - o) This facility is provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, provisions of the SAI and the respective SID including the provisions of the 'Prevention of Money Laundering and Know Your Customer' requirements as detailed in the SAI, and any other applicable laws, rules and regulations as may be enforced from time to time.
 - p) All requests for registering or deactivating the STP Intello Facility shall be subject to an advance notice of 8 (Eight) calendar days. Investors can deactivate the Facility by sending a written request to any of the Investor Service Centers. Once registered, the facility cannot be modified. Investor may cancel an existing registration and register afresh using a new / separate form.
 - q) The use of this Facility by the Investor will be deemed as the investor's confirmation that the investor understands and agrees to be bound by all of the terms and conditions applicable to this Facility, as detailed in this Form (as amended from time to time).
- The AMC reserves the right to change, modify or withdraw this facility at any point of time. However, the change will be effective only on a prospective basis. Further, the AMC reserves the right to restrict the number / type of schemes being offered through this facility.

6. Applying for STP Intello Facility and other related Terms & Conditions:

- a. Union Mutual Fund STP Intello Enrollment Form should be completed in English and in Block Letters only. Please tick in the appropriate circle (O), where circles (O) have been provided. The STP Intello Enrollment Form complete in all respects, should be submitted at any of the Investor Service Centers (ISCs) of Union Mutual Fund.
- b. Unitholders may choose to fill in the number of instalments in the Form, failing which the default option of "Till 31-Dec- 2099" will be considered.
- c. Investor can select any date of each Month.
- d. If investor does not mention either the application number or the folio then the form is liable for rejection.
- e. Units will be allotted under the same folio number. Unitholders' name should match with the details in the existing folio number, failing which AMC reserves the right to reject the application.
- f. For Scheme load structure please refer to Key Information Memorandum or contact the nearest Investor Service Centre (ISC) of Union Mutual Fund or visit our website www.unionmf.com.
- g. Signature(s) should be as provided under the existing folio and in the same order. In case the mode of holding is joint, all unit holders are required to sign.
- h. **Communication via Electronic Mail (e-mail):** It is hereby notified that wherever the investor(s) has/have provided his/ their e-mail address in the application form or any subsequent communication in any of the folio belonging to the investor(s), the AMC reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s). The investor(s) may request for a physical account statement by writing or calling the Investor Service Centre / Registrar & Transfer Agent of Union Mutual Fund. In case of specific request received from investor(s), the AMC shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request.
- i. STP Intello Facility is not, in any manner an assurance or promise or guarantee on part of Union Mutual Fund to the unit holders in terms of returns or capital appreciation or minimization of loss of capital.

B. Declaration and Signature(s)

1. Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
2. Thumb impressions (left hand for males and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/ her official seal.
3. Applications by minors should be signed by their guardians.
4. In case of an HUF, the Karta should sign on behalf of the HUF.
5. If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted with the application, which will be returned after verification. If the PoA is not submitted with the application, the application form will be rejected.
6. In case of non-individual investors, a list of authorised signatories should be submitted along with application form in case of any change in the authorised signatories list.

C. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN):

As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIIN, it is mandatory to state the Employee Unique Identification Number (EUIIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/ declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/ space provided for the ARN Number/ EUIIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/declaration.** The mentioning of the EUIIN shall assist the AMC to tackle the problem of mis-selling by the distributors/its employees/ relationship manager/ sales person.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

Computer Age Management Services Ltd.,

Unit: Union Mutual Fund

Rayala Tower 2, 5th Floor, # 158 Anna Salai, Chennai - 600002.

Email: enq_uk@camsonline.com | **Website:** www.camsonline.com

Union Asset Management Company Pvt. Ltd.

Unit 503, 5th Floor, Leela Business Park, Andheri Kurla Road, Andheri (East), Mumbai - 400059.

Toll Free : 1800 200 2268/1800 572 2268 | **Tel No. :** 022 67483333

WhatsApp: 93214 03687 | **Email :** investorcare@unionmf.com

Website: www.unionmf.com

