

Please read the instructions carefully and complete the relevant sections legibly in black / dark coloured ink and in BLOCK LETTERS.  
Please strike off unused section(s) to avoid unauthorised use

Date

**1. UNITHOLDER(S) INFORMATION\***

Existing Folio No.  \*Mandatory Application No.

Name of the Unit Holder [Please Tick (✓)]

Mr.  Ms.  M/s.

1<sup>st</sup> holder PAN  2<sup>nd</sup> holder PAN  3<sup>rd</sup> holder PAN

**2. EXISTING SIP DETAILS [Please Tick (✓)]**

Scheme

Plan  Direct Plan  Other than Direct Plan  Option

SIP Frequency  Daily\*\*  Weekly  Monthly  Quarterly

SIP Installment Amount (figures) ₹  (words)

SIP date  SIP start date  SIP end date

\*\*Daily frequency available only for Union Flexi Cap Fund

**3. SIP TOP-UP DETAILS [Please Tick (✓)]** (Minimum Top-up amount will be ₹ 100/- and in multiples of ₹ 100/- thereafter)

SIP Top-up amount (figures) ₹  (words)

SIP Top-up Frequency

	<b>Daily/Weekly/Monthly</b>	<b>Quarterly</b>
	<input type="radio"/> Half Yearly <input type="radio"/> Yearly (Default)	<input type="radio"/> Yearly (Default)

SIP Top-up CAP amount ₹  OR CAP Month - Year

**4. DECLARATION & SIGNATURES (To be signed by ALL UNIT HOLDERS if mode of holding is 'Joint')\***

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union Mutual Fund, I / We, hereby apply to the Trustee of Union Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby agree to have read and understood the terms and conditions with regard to payment of transaction charges as specified in the SID/SAI/KIM and addenda thereto and this application form and instructions thereto. I/ We hereby confirm that Union Mutual Fund/ Union Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/ We hereby confirm that at the time of investment, I / We have the express authority to invest in units of the Scheme and the AMC/ Trustee/ Mutual Fund/ Sponsor will not be responsible if such investment is ultravires the relevant constitution.

**Applicable to Micro Investments only:** I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

**Applicable to NRIs only:** I/We confirm that I am / We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).

Signature Sole / First Applicant / Guardian / POA / Authorised Signatory	Signature Second Applicant / POA / Authorised Signatory	Signature Third Applicant / POA / Authorised Signatory
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**ACKNOWLEDGEMENT SLIP (To be filled in by the investor)**

Folio No.  Application No.

Received from: Mr./ Ms./ M/s \_\_\_\_\_ Date: / /

Scheme/ Plan/ Option - \_\_\_\_\_

Top-up Frequency \_\_\_\_\_

at Top-up Amount ₹  SIP start date

Please note: All purchases are subject to realisation of cheques.

Collection centre's stamp with date and time of receipt

## INSTRUCTIONS

### General

1. This form should be used by investors by mentioning their PAN/ folio number, name and scheme details. Please read the Statement of Additional Information (SAI), respective Scheme Information Document (SID) including Addendum(s) thereto, if any, Key Information Memorandum (KIM) and instructions there-in and below mentioned instructions carefully before filling up this form.
2. This Form should be completed in English and in Block Letters only using black or blue coloured ink.
3. Please tick (✓) in the appropriate circle (0).
4. The Form should be complete in all respects & should be submitted at any of the Customer Service Centres (CSCs) / Official Points of Acceptance (OPAs) of Computer Age Management Services Pvt. Ltd. (CAMS) or Union Mutual Fund. List of OPAs is available on the website of the Mutual Fund [www.unionmf.com](http://www.unionmf.com).
5. Signature(s) should be as provided under the existing folio and in the same order. In case the mode of holding is joint, all unit holders are required to sign.
6. Default Frequency may be applied in case of no information, ambiguity or discrepancy.

### INSTRUCTIONS FOR SIP TOP-UP

SIP Top-up Facility provides flexibility to Investors to increase the amount of the SIP instalment by a fixed amount at pre-defined intervals during the tenure of the SIP. The terms and conditions applicable to this Facility are as follows:

1. This facility will allow investors (including existing investors) to opt for Top-up in their SIP, which are routed through electronic mode only (the Top-up Facility is not available for SIP through post-dated cheques).
2. Investors/unit holders subscribing for the Top-up facility are required to submit the request at least 30 days prior to the SIP date. In case the request is not received at least 30 days prior to the SIP date, the Top-up will be applicable from the next effective SIP instalment.
3. The minimum Top-up amount is ₹ 100/- and in multiples of ₹ 100/- thereafter.
4. **SIP Top-up frequency:**

SIP Frequency	Top-up Frequency
Daily**	• Half Yearly
	• Yearly
Weekly & Monthly	• Half Yearly
	• Yearly
Quarterly	• Yearly

\*\* Daily frequency is only available under Union Flexi Cap Fund

If the investor does not specify the Top-up frequency under Daily SIP or Monthly SIP, the default frequency for Top-up will be Yearly.

5. **Default Top-up amount:** If the investor does not specify the Top-up amount, the default amount for Top-up will be considered as ₹ 100/-, and the application form shall be processed accordingly.
6. **Half-yearly Top-up:** Under this option, the SIP instalment amount shall be increased, by an amount chosen by the Investor, post every 6<sup>th</sup> (sixth) SIP instalment (i.e. the 7<sup>th</sup> SIP instalment and so on).
7. **Yearly Top-up:** Under this option, the SIP instalment amount shall be increased, by an amount chosen by the Investor, post every 12<sup>th</sup> (twelfth) SIP instalment (i.e. the 13<sup>th</sup> SIP instalment and so on).
8. Once enrolled, in case the Investor wants to modify the Top-up details, the investor must cancel the existing SIP Top-up and enroll for a new SIP Top-up with the desired Top-up details
9. SIP Top-up will be allowed in Micro SIP folio subject to condition that total investment including SIP Top-up does not exceed ₹ 50000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.
10. **Maximum amount:** It is the upper most limit per transaction set by the investor for his registered bank account to be debited through the One Time Mandate (OTM). If the maximum amount set in the OTM is less than the Cap amount, a new OTM needs to be registered with the revised maximum amount. Till the time a revised OTM with change in maximum amount is submitted, the existing maximum amount will be considered as the Top-up Cap amount. Along with new OTM, a revised Top-up form also needs to be submitted with the Top-up Cap amount.
11. **Top-up CAP Amount:** Investor has an option to freeze the SIP Top-up amount once it reaches a fixed predefined amount.
12. **Top-up CAP Month-Year:** It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.
13. In case the SIP frequency is perpetual then the investor has to mention the Top-up Cap amount.
14. Separate Top-up form has to be used for each SIP.
15. All other terms & conditions applicable for regular SIP Facility will also be applicable to Top-up Facility.
16. An illustration to explain the concept of SIP Top-up Facility is given below:

If an investor has opted for an SIP of ₹ 2,000 with monthly frequency, and has requested for an SIP Top-up of ₹ 500/- with half yearly frequency, then the monthly SIP instalment amount will be ₹ 2,000 for the first six months and will increase by ₹ 500/- post every sixth SIP instalment i.e. in the given case, the SIP instalment amount will be ₹ 2,500 from the seventh instalment to twelfth instalment, ₹ 3,000 from the thirteenth instalment to eighteen instalment and so on.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

**Computer Age Management Services Ltd.,**

Unit: Union Mutual Fund

158, Rayala Tower 1, 1st Floor, Anna Salai, Chennai, Tamil Nadu - 600002.

**Email:** [enq\\_uk@camsonline.com](mailto:enq_uk@camsonline.com) | **Website:** [www.camsonline.com](http://www.camsonline.com)



**Union Asset Management Company Pvt. Ltd.**

Unit 503, 5th Floor, Leela Business Park, Andheri Kurla Road, Andheri (East), Mumbai - 400059

**Toll Free :** 1800 200 2268/1800 572 2268 | **Tel No. :** 022 6748 3333

**Website:** [www.unionmf.com](http://www.unionmf.com) | **Email :** [investorcare@unionmf.com](mailto:investorcare@unionmf.com)