

**Union Mutual Fund (formerly Union KBC Mutual Fund)  
Union Asset Management Company Private Limited  
(formerly Union KBC Asset Management Company Private Limited)**

**Investment Manager for Union Mutual Fund**

**Corporate Identity Number (CIN):** U65923MH2009PTC198201

**Registered Office:** Unit 503, 5<sup>th</sup> Floor, Leela Business Park,  
Andheri Kurla Road, Andheri (East), Mumbai - 400059

• Toll Free No. 18002002268; • Non Toll Free. 022-67483333; • Fax No: 022-67483401;  
• Website: www.unionmf.com; • Email: investorcare@unionmf.com



**NOTICE CUM ADDENDUM TO THE SCHEME INFORMATION DOCUMENT (SID) AND KEY INFORMATION MEMORANDUM (KIM) OF ALL EXISTING SCHEMES OF UNION MUTUAL FUND AND STATEMENT OF ADDITIONAL INFORMATION (SAI) OF UNION MUTUAL FUND**

Notice is hereby given that, for the convenience of Investors/ Unit holders of **Union Mutual Fund ("the Fund")**, **Union Asset Management Company Private Limited ("the AMC")** has decided to provide an additional facility to Investors to submit transactions. Under this facility, Investors can submit transactions through their registered email ID to a designated email ID of the Fund which is **transact.mail@unionmf.com ("Designated Email ID")**. In this context, Investors/ Unit holders are advised to note that the Designated Email ID will be an Official Point of Acceptance for transactions in **all the existing Schemes of the Fund** with effect from **Friday, October 27, 2017 ("Effective Date")**.

Accordingly, Investors are requested to note that the following clauses are hereby inserted under the heading '**Special Products/ Facilities available**', under sub-section B 'Ongoing Offer Details', under section III 'Units and Offer' in the SID of the existing Schemes of the Fund with effect from the Effective Date:

**"Facility to transact through email:**

Under this facility, Investors can submit transactions through their registered email ID to a designated email ID of the Fund which is **transact.mail@unionmf.com ("Designated Email ID")**. The terms and conditions applicable to this Facility are as follows:

- 1. Eligible Investors:** All existing Unit holders with folios where email ID is registered except Unit holders holding units in dematerialized mode and Unitholders who have invested through online mode where the Unitholder's signature is not available on records.
- 2. Transactions permitted through the Facility:**

**i. Financial Transactions:**

- a. Additional Purchases** (payment through electronic fund transfer only)
- b. Redemptions** (payout to default/ registered bank mandate only)
- c. Switches** including requests for change in Plan/ Option/ Facility

**ii. Non-Financial Transactions:**

- a. Consolidation of folios** (provided bank mandate is the same in all folios)
- b. Systematic Transfer Plan (STP) Registration Requests**, if applicable under the Scheme.
- c. Systematic Withdrawal Plan (SWP) Registration Requests**, if applicable under the Scheme.
- d. Cancellation of Systematic Registrations** such as Systematic Investment Plan (SIP), STP and SWP, if applicable under the Scheme.
- e. Registration and cancellation request for Trigger Facility**, if applicable under the Scheme.

**3. Mandatory requirements:**

Scanned copy of the relevant duly signed application with explicit instruction for processing the transaction with relevant supporting documents and details as mentioned below needs to be sent to the Designated Email ID. The subject line should clearly mention the Folio Number and the nature of the transaction for which instruction is being sent. The signature on the scanned copy of the application form should be as per the mode of holding under the particular folio. Further, for non-individual investors, the signature should be as per the Authorized Signatory List for the particular folio.

**i. Financial Transactions**

- a. Additional Purchases** (payment through electronic fund transfer only)
  - Folio number
  - Investor Name
  - Scheme - Plan and Option
  - Amount or Number of units
  - Mode of Fund Transfer
  - Proof of Fund Transfer
  - Broker related information like ARN code, Sub Broker code EUIN details (if any)
- b. Redemptions** (payout to default/ registered bank mandate only)
  - Folio number
  - Investor Name
  - Scheme - Plan and Option
  - Amount or Number of units
- c. Switches** including requests for change in Plan/ Option/ Facility
  - Folio number
  - Investor Name
  - Source Scheme - Plan and Option
  - Target Scheme - Plan and Option
  - Amount or Number of units
  - Broker related information like ARN Code, Sub Broker code EUIN Details (if any)

**ii. Non-Financial Transactions**

- Folio number
- Scheme Name - Plan and Option
- ARN code wherever applicable
- Details of the non-financial transaction request

**4. Terms and Conditions:**

- i.** The transaction request can be made only from the registered email ID of the Unitholder, available in the records of the AMC under the particular folio. Transaction requests sent by the Investor through a single email for multiple folios with different investor name(s) and different mode of holding will not be considered for processing through this Facility, even if the email ID registered is same in these folios.
- ii.** All transaction requests sent to any email ID other than the Designated Email ID will not be accepted. Further, these documents shall only be accepted if they are in PDF or JPG format. The AMC may not acknowledge the receipt of the email requests.
- iii.** The AMC reserves the right to change the Designated Email ID/designate more than one email IDs as Designated Email IDs from time to time, and the same shall be updated on the AMC's website.
- iv.** The AMC shall not verify the identity of the person sending the email requests.
- v.** The Designated Email ID will be an Official Point of Acceptance for transactions. The transaction request sent on the Designated Email ID will be time-stamped as per the date and time of the email received on the server of the AMC, and such time stamp shall be considered as final and binding for determining the applicable Net Asset Value (NAV) for the transaction in accordance with the SEBI (Mutual Funds) Regulations, 1996.
- vi.** The Investor agrees and acknowledges and is aware that there may be a delay in delivery or difference in the date and time of the email received on the server of the AMC and the date and time of the server through which the Investor has sent the email, and also that the AMC server may not receive / reject the email sent by the Investor.
- vii.** The AMC shall act in good faith and shall take necessary steps in connection with the email requests received regardless of the value involved, and the same shall be binding on the Investor. The AMC/ Mutual Fund/ Registrar shall not be held responsible / liable for any loss caused to the investor due to any time lag / error / interception in transmission of transaction through email to the AMC / Mutual Fund/ Registrar and will be held harmless for loss, if any, suffered by the Investor for processing/ not processing transactions received through this Facility.
- viii.** The Investor acknowledges that it is a web based service and that transmissions may not be properly received and may be inadvertently read. Further, the Investor acknowledges and is fully aware of the risks involved in using this Facility including but not limited to such transaction requests being illegible, altered, etc. The Investor agrees that the risk of misunderstanding and errors shall be borne by the Investor, and the AMC shall not be responsible for such breach and shall not be liable for any claims, liability, loss, damage, cost or expenses arising from such misunderstanding or errors caused in transmission.
- ix.** Investor shall indemnify the AMC/ Mutual Fund/ Registrar from and against all claims, liability, loss, damage, cost and expenses incurred by the AMC/ Mutual Fund/ Registrar arising out of or relating to:
  - The AMC/ Mutual Fund/ Registrar acting pursuant to, in accordance with or relying upon any email requests received or the AMC/ Mutual Fund/ Registrar not processing the email requests for any reason.
  - Any unauthorized or fraudulent email request received by the AMC/ Mutual Fund/ Registrar from the registered email ID of the investor.
- x.** The Investor also agrees and undertakes to execute any other documents indemnifying the AMC/Mutual Fund/ Registrar.
- xi.** The AMC/ Registrar at its sole discretion and in accordance with the terms of the SID of the Scheme reject the transaction received through this Facility and such decision shall be final and binding on the investor.
- xii.** The AMC reserves the right to restrict the number / type of schemes being offered through this facility.
- xiii.** This facility is provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, provisions of the SAI and the respective SID including the provisions of the 'Prevention of Money Laundering and Know Your Customer' requirements as detailed in the SAI, and any other applicable laws, rules and regulations as may be enforced from time to time.
- xiv.** The use of this Facility by the Investor will be deemed as the investor's confirmation that the investor understands and agrees to be bound by all of the terms and conditions applicable to this Facility, as amended from time to time.
- xv.** The AMC reserves the right to discontinue or modify the above terms and conditions/ facility at any time. However, the change will be effective only on a prospective basis."

Necessary/incidental changes, if any, shall be made in the SID and KIM of all the existing Schemes of Union Mutual Fund and SAI of Union Mutual Fund in the above regard.

This Addendum forms an integral part of the SID and KIM of the Schemes of Union Mutual Fund and SAI of Union Mutual Fund.

All other terms and conditions of the SID and KIM of the Schemes of Union Mutual Fund and SAI of Union Mutual Fund will remain unchanged.

**For Union Asset Management Company Private Limited**  
(Investment Manager for Union Mutual Fund)

Place: Mumbai  
Date: October 26, 2017

Sd/-  
**Authorised Signatory**

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**

**Statutory Details:** Union Mutual Fund has been set up as a Trust under the Indian Trusts Act, 1882; **Sponsor:** Union Bank of India; **Trustee:** Constitution Trustee Company Private Limited (formerly Union KBC Trustee Company Private Limited) [Corporate Identity Number (CIN): U65923MH2009PTC198198], a company incorporated under the Companies Act, 1956 with a limited liability; **Investment Manager:** Union Asset Management Company Private Limited (formerly Union KBC Asset Management Company Private Limited) [Corporate Identity Number (CIN): U65923MH2009PTC198201], a company incorporated under the Companies Act, 1956 with a limited liability.

Copy of all Scheme Related Documents can be obtained from any of our AMC offices/ Customer Service Centres/ distributors as well as from our website www.unionmf.com.